



I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ayesha J. Shaikh

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ralph James

Attorney Docket No.: 501297.01

Serial No. : 10/645,288

Group Art Unit

: 2186

Filed

: August 20, 2003

Examiner

: Not Yet Assigned

Title

: METHOD AND SYSTEM FOR CAPTURING AND BYPASSING MEMORY

TRANSACTIONS IN A HUB-BASED MEMORY SYSTEM

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. A copy of the cited non-patent literature reference, as required under 37 C.F.R. § 1.98(a)(2), is enclosed. †Copies of the cited U.S. patents and U.S. patent application publications will not be submitted herewith in accordance with the waiver by the Office of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 09/994,516, describing and claiming subject matter that is similar to the subject matter of this application, was filed on November 26, 2001. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/165,787, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 7, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/215,505, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 9, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/211,036, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 2, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/601,222, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 20, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/213,038, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 5, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/601,104, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 19, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/238,322, describing and claiming subject matter that is similar to the subject matter of this application, was filed on September 9, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/232,842, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 29, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/601,253, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 20, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/232,473, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 29, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/601,252, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 20, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/222,415, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 16, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/222,393, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 16, 2002. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/690,810, describing and claiming subject matter that is similar to the subject matter of this application, was filed on October 20, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/644,522, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 19, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/660,844, describing and claiming subject matter that is similar to the subject matter of this application, was filed on September 12, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/651,801, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 28, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/665,904, describing and claiming subject matter that is similar to the subject matter of this application, was filed on September 18, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/653,044, describing and claiming subject matter that is similar to the subject matter of this application, was filed on August 28, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/695,383, describing and claiming subject matter that is similar to the subject matter of this application, was filed on October 27, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 10/460,588, describing and claiming subject matter that is similar to the subject matter of this application, was filed on June 11, 2003. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Kimton N. Eng

Registration No. 43,605

KNE:ajs

Enclosures:

Postcard

Form PTO-1449

Cited Reference (1)

Copies of Related Applications (22)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

h:\ip\documents\clients\micron technology\1200\501297.01\501297.01 ids.doc

FORM PTO-1449 (REV.7-80)

INFOR

DEC 1 9 2003

al sheets if necessary)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 501297.01

APPLICATION NO. 10/645,288

LOSURE STATEMENT

APPLICANT(S)
Ralph James

FILING DATE
August 20, 2003

GROUP ART UNIT 2186

U.S. PATENT DOCUMENTS

|                      |    |                 | U.S.     | PATENT DOCUMENTS   |       |          |             |
|----------------------|----|-----------------|----------|--------------------|-------|----------|-------------|
| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE |
|                      | AA | 5,251,303       | 10/05/93 | Fogg, Jr. et al.   | 395   | 275      |             |
|                      | AB | 5,432,907       | 07/11/95 | Picazo, Jr. et al. | 395   | 200      |             |
|                      | AC | 5,442,770       | 08/15/95 | Barratt            | 395   | 403      |             |
|                      | AD | 5,497,476       | 03/05/96 | Oldfield et al.    | 395   | 439      |             |
|                      | AE | 5,659,798       | 08/19/97 | Blumrich et al.    | 395   | 846      |             |
|                      | AF | 5,875,352       | 02/23/99 | Gentry et al.      | 395   | 843      |             |
|                      | AG | 6,061,263       | 05/09/00 | Boaz et al.        | 365   | 51       |             |
|                      | АН | 6,067,262       | 05/23/00 | Irrinki et al.     | 365   | 201      |             |
|                      | AI | 6,073,190       | 06/06/00 | Rooney             | 710   | 56       |             |
|                      | AJ | 6,105,075       | 08/15/00 | Ghaffari           | 710   | 5        |             |
|                      | AK | 6,137,709       | 10/24/00 | Boaz et al.        | 365   | 51       |             |
|                      | AL | 6,144,587       | 11/07/00 | Yoshida            | 365   | 189.05   |             |
|                      | AM | 6,167,465       | 12/26/00 | Parvin et al.      | 710   | 22       |             |
|                      | AN | 6,167,486       | 12/26/00 | Lee et al.         | 711   | 120      |             |
| . "                  | AO | 6,201,724 B1    | 03/13/01 | Ishizaki et al.    | 365   | 49       |             |
|                      | AP | 6,233,376 B1    | 05/15/01 | Updegrove          | 385   | 14       |             |
|                      | AQ | 6,243,769 B1    | 06/05/01 | Rooney             | 710   | 56       |             |
|                      | AR | 6,246,618 B1    | 06/12/01 | Yamamoto et al.    | 365   | 200      |             |
|                      | AS | 6,285,349 B1    | 09/04/01 | Smith              | 345   | 147      |             |
|                      | АТ | 6,301,637 B1    | 10/09/01 | Krull et al.       | 711   | 112      |             |
|                      | AU | 6,327,642 B1    | 12/04/01 | Lee et al.         | 711   | 120      |             |
|                      | AV | 6,330,205 B2    | 12/11/01 | Shimizu et al.     | 365   | 230.06   |             |
|                      | AW | 6,347,055 B1    | 02/12/02 | Motomura           | 365   | 189.05   |             |

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449 (REV.7-80)

**INFOR** 

DEC 1 9 2003

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 501297.01

APPLICATION NO. 10/645,288

TION DISCLOSURE STATEMENT

BASTEral sheets if necessary)

APPLICANT(S)
Ralph James

FILING DATE
August 20, 2003

GROUP ART UNIT 2186

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|-------------------|-------|----------|----------------------------|
|                      | AX | 6,349,363 B2    | 02/19/02 | Cai et al.        | 711   | 129      |                            |
|                      | AY | 6,356,573 B1    | 03/12/02 | Jonsson et al.    | 372   | 46       |                            |
|                      | AZ | 6,367,074 B1    | 04/02/02 | Bates et al.      | 717   | 11       |                            |
|                      | ВА | 6,373,777 B1    | 04/16/02 | Suzuki            | 365   | 230.03   |                            |
|                      | вв | 6,381,190 B1    | 04/30/02 | Shinkai           | 365   | 230.03   |                            |
|                      | вс | 6,421,744 B1    | 07/16/02 | Morrison et al.   | 710   | 22       |                            |
|                      | BD | 6,434,639 B1    | 08/13/02 | Haghighi          | 710   | 39       |                            |
|                      | BE | 6,463,059 B1    | 10/08/02 | Movshovich et al. | 370   | 389      |                            |
| <u>.</u>             | BF | 6,470,422 B2    | 10/22/02 | Cai et al.        | 711   | 129      |                            |
|                      | BG | 6,473,828 B1    | 10/29/02 | Matsui            | 711   | 104      |                            |
|                      | вн | 6,477,614 B1    | 11/05/02 | Leddige et al.    | 711   | 5        |                            |
|                      | ВІ | 6,477,621 B1    | 11/05/02 | Lee et al.        | 711   | 120      |                            |
| <u> </u>             | ВЈ | 6,496,909 B1    | 12/17/02 | Schimmel          | 711   | 163      |                            |
|                      | вк | 6,505,287 B2    | 01/07/03 | Uematsu           | 711   | 170      |                            |
|                      | BL | 6,539,490 B1    | 03/25/03 | Forbes et al.     | 713   | 401      |                            |
| <u> </u>             | вм | 6,552,564 B1    | 04/22/03 | Forbes et al.     | 326   | 30       |                            |
|                      | BN | 6,587,912 B2    | 07/01/03 | Leddige et al.    | 711   | 5        |                            |
|                      | во | 6,590,816 B2    | 07/08/03 | Perner            | 365   | 200      |                            |
|                      | ВР | 6,594,713 B1    | 07/15/03 | Fuoco et al.      | 710   | 31       |                            |
| -                    | BQ | 2002/0112119 A1 | 08/15/02 | Halbert et al.    | 711   | 115      |                            |
|                      | BR | 2003/0043426 A1 | 03/06/03 | Baker et al.      | 359   | 109      |                            |
|                      | BS |                 |          |                   |       |          |                            |
|                      | вт |                 |          |                   |       |          |                            |
|                      | BU |                 |          |                   |       |          |                            |

**EXAMINER** 

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

| . `                         |      | OIP                        |      |                            |                             |                                       |                 |             |          | Sheet _3    | of <u>3</u> |  |
|-----------------------------|------|----------------------------|------|----------------------------|-----------------------------|---------------------------------------|-----------------|-------------|----------|-------------|-------------|--|
| FORM PTO-1449<br>(REV.7-80) |      |                            |      | ATTY. DOCKET NO. 501297.01 |                             |                                       | 100 NO. 545,288 |             |          |             |             |  |
| INFO                        | 1652 | ATION DISEZOSURE STATEMENT |      |                            |                             | APPLICANT(S) Ralph James              |                 |             |          |             |             |  |
| sheets if necessary)        |      |                            |      |                            | FILING DATE August 20, 2003 |                                       | grot<br>218     | JP ART UNIT |          |             |             |  |
|                             |      |                            |      | FORE                       | IGN PATEN                   | T DOCUMENTS                           |                 |             |          |             |             |  |
|                             |      | DOCUMENT NUMBER DATE       |      |                            |                             | COUNTRY                               | CLA             | ss          | SUBCLASS | TRANSLATION |             |  |
|                             |      |                            |      |                            |                             |                                       |                 |             |          | YES         | NO          |  |
|                             | вv   |                            |      |                            |                             |                                       |                 |             |          |             |             |  |
|                             | вw   |                            |      |                            |                             |                                       |                 |             |          |             |             |  |
|                             | вх   |                            |      |                            |                             |                                       |                 |             |          |             |             |  |
|                             | BY   |                            |      |                            |                             | <u></u> .                             |                 |             |          |             |             |  |
|                             |      | 0                          | THER | PRIOR A                    | ART (Including              | Author, Title, Date, Pertinen         | t Pages, Etc.)  |             |          |             | -           |  |
|                             | BZ   |                            |      |                            |                             | DRAM Module 51<br>cron Technology, In |                 |             |          | 168-PI      | N<br>       |  |
|                             | CA   |                            |      |                            |                             |                                       |                 |             |          |             |             |  |
|                             | СВ   |                            |      |                            | . <u></u> .                 |                                       |                 |             |          |             |             |  |
|                             | СС   |                            |      |                            |                             |                                       |                 |             |          |             | ····        |  |
|                             | CD   |                            |      |                            |                             |                                       |                 |             |          | · -         |             |  |
|                             | CE   |                            |      |                            |                             |                                       |                 |             |          |             |             |  |
|                             | CF   |                            |      | <u> </u>                   |                             |                                       |                 |             |          |             |             |  |
|                             | CG   |                            |      |                            |                             |                                       |                 |             | <u> </u> |             |             |  |
|                             | СН   |                            |      |                            |                             |                                       |                 |             |          |             |             |  |
| EXAMINE                     | R    |                            |      |                            |                             | DATE CONSIDERI                        | ED              |             |          |             |             |  |
|                             |      |                            |      |                            |                             |                                       |                 |             |          |             |             |  |

Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant(s).

\* EXAMINER: